TRANSMITTAL FORM  (to be used for all correspondence after initial filit	Application Number  Filing Date  First Named Inventor  Art Unit	nt and Trademark Office; U.S. DEPARTMENT OF COMMERCE on of information unless it displays a valid OMB control number.  10/675,192  September 30, 2003  DOUGLAS et al.
Total Number of Pages in This Submission	Examiner Name Attorney Docket Number	5853-405
	ENCLOSURES (Check all tha	t apply)  After Allowance Communication
Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	ny such fee and any additional fees due 0-0951	Other Enclosure(s) (please Identify below): Form PTO/SB/08A & B; 2 References; and 1 Postcard.  ee is due, the Commissioner is authorized to charge or credit any overpayment to Deposit Account No.
	URE OF APPLICANT, ATTORN	EY, OR AGENT
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## PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: DOUGLAS et al.

Application No.:

10/675,192

**Examiner:** 

Filed:

September 30, 2003

**Group Art Unit:** 

For: LIGHT EMITTING COMPOSITE MATERIAL

AND DEVICES THEREOF

CERTIFICATE UNDER 37 CFR 1.8(2)

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Neil R. Jette

Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450

Date: February 2, 2004

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56, Applicants hereby disclose information that may be relevant to the Examiner's consideration of the above-identified application and the patentability of its claims.

In accordance with Rules 56, 97, and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), Forms PTO/SB/08A & B with references cited therein are submitted for consideration by the Examiner. While the references provided in this Information Disclosure Statement may be material to patentability pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specially designated as such. Also, in accordance with 37 C.F.R. § 1.97(g), the {WP166851;1}

In re Application of: DOUGLAS et al.

Application No.:

10/675,192 September 30, 2003

Filed: Group Art Unit:

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filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(a) exists.

This Information Disclosure Statement is being filed before the issuance of a first office action on the merits of the application (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be due. However, if any fee is due, the Commissioner is authorized to charge any such fee and any additional fees due or credit any overpayment to Deposit Account No. 50-0951.

Respectfully submitted,

Neil R. Jetter, Reg/No/46,803 AKERMAN SENTEKFITT

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Docket No. 5853-405

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Sheet 1

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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Complete if Known			
Application Number	10/675,192		
Filing Date	September 30, 2003		
First Named Inventor	DOUGLAS et al.		
Art Unit	·		
Examiner Name			
Attorney Docket Number	5853-405		

	U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code <sup>2 (if known)</sup>			Figures Appear	
		US- <sub>2001/0000335</sub>	04/19/2001	Yamada et al.		
		US- <sub>6,239,453</sub>	05/29/2001	Yamada et al.		
		US- 2002/0154382	10/24/2002	Morrison et al.		
		US- <sub>6,049,090</sub>	04/11/2000	Clark, Jr.		
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<del></del>		US- 2001/0040232	11/15/2001	Bawandi et al.		
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	-6
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T.
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Application Number	10/675,192		
Filing Date	September 30, 2003		
First Named Inventor	DOUGLAS et al.		
Art Unit			
Examiner Name			
Attarnay Docket Number	5052 405		

			U. S. PATEN	DOCUMENTS	*
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	Number-Kind Code <sup>2 (# known)</sup> US- 6,241,819	06/05/2001	Bhargava et al.	
		US- <sub>6,294,800</sub>	09/25/2001	Duggal et al.	
		US- <sub>6,468,808</sub>	10/22/2002	Nie et al.	
		US- <sub>6,538,371</sub> .	03/25/2003	Duggal et al.	
		US- <sub>2003003043</sub>	01/02/2003	Ishida	
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		US- 20020185378	12/12/2002	Honeyman et al.	
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		US- <sub>6,251,303</sub>	06/26/2001	Bawendi et al.	
		US- <sub>6,319,426</sub>	11/20/2001	Bawendi	
		US- 6,501,100	12/31/2002	Srivastava et al.	
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		US- 6,522,065	02/18/2003	Srivastava et al.	

		FORE	<b>GN PATENT DOCU</b>	MENTS		
Examiner Cite Initials* No.1		ite Foreign Patent Document	eign Patent Document Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
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STATEMENT BY APPLICANT DOUGLAS et al. Art Unit (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number 5853-405 Sheet 1 of

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		TISSUE et al., "Preparation, Characterization, and Dynamical Properties of Nanostructural Metal-Oxide Materials," NSF Partnership in Nanotechnology Conference, Jan. 29&30, 2001.	
		HATTORI et al., "Full-Color Light-Emitting Devices Based on n- and o- Conjugated Polymer Materials," Department of Electrical Engineerig and Compute Science, ISSN0098-0966X/98/2901, 1998.	
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Signature	Considered

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